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PTO/SB/21 (01-03) Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/075,733 TRANSMITTAL Filing Date February 14, 2002 **FORM** First Named Inventor Ulrich Behrendt Art Unit 1723 (to be used for all correspondence after initial filing) **Examiner Name** Krishnan S. Menon Attorney Docket Number 21102 US Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to Group Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to Group Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority PTO Form 1449 with References (2), Request to Charge Deposit Account, Statement Under 37 Document(s) CFR Section 1.97(e), Office Action and Post Card. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Bernard Lau Individual Signature Date February 19, 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 on this date February 19, 2004 Typed or printed Bernard Lau February 19, 2004 Date Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In repatent application

Hoffmann-La Roche Inc.

340 Kingsland Street

Inventors: Ulrich Behrendt, et al.

Nutley, NJ 07110

Serial No.: 10/075,733

Date: February 19, 2004

Filed: February 14, 2002

For: HOLLOW FIBER MEMBRANE MODULE

REQUEST TO CHARGE DEPOSIT ACCOUNT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a SUPPLEMENTAL INFORMATION DISCLOSURE **STATEMENT** in the above-identified application.

- <u>X</u> Please charge my Deposit Account No. 08-2525 in the amount of \$180.00 which is the fee in connection with the filing of the enclosed paper.
- X The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 08-2525.
- This sheet is provided in duplicate. X

Attorney of Record

Bernard Lau

(Reg. No. 38218)

Telephone: (973) 235-4387 Telefax: (973) 235-2363

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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventor(s): Ulrich Behrendt, et al. Group: 1723

Serial No. 10/075,733, filed February 14, 2002 Examiner: Krishnan S. Menon

For: HOLLOW FIBER MEMBRANE MODULE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Nutley, New Jersey 07110 Date: February 19, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Documents B4 and B5 listed on Form PTO-1449 are enclosed. These documents were cited in a Search Report, dated November 23, 2003, issued by the Swedish Patent Office in the corresponding application. Consideration of these documents cited on Form PTO-1449 is requested.

02/26/2004 HGUTEMA1 00000076 082525 10075733

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Serial No. 10/075,733 Filed: February 14, 2002

Since the Supplemental Information Disclosure Statement is submitted after a Final Action or Notice of Allowance, Applicants petition that the Supplemental Information Disclosure Statement be considered. Submitted is a Fee Sheet and a certificate under 37 C.F.R. § 1.97(e).

Respectfully submitted,

Attorney for Applicant(s)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

(Use several sheets if necessary)

Complete if Known		
Application Number	10/075,733	
Filing Date	February 14, 2002	
First Name Inventor	Ulrich Behrendt	
Group Art Unit	1723	
Examiner Name	Krishnan S. Menon	

Attorney Docket Number | 21102 US Sheet of 1 **U.S. PATENT DOCUMENTS** U.S. Patent Document Name of Patentee or Applicant of Date of Publication of Examiner Cite Kind Code² Cited Document Cited document Initials' No.1 Number (if known) MM-DD-YYYY FOREIGN PATENT DOCUMENTS Date of Publication of Т⁶ Foreign Patent Document Cite Name of Patentee or Cited Document Examiner Kind Code⁵ Office³ Number⁴ MM-DD-YYYY Applicant of Cited Document Initials' No.1 (if known) 12-23-1992 **B4** EP 0 519 132 Exxon Research and A1 **Engineering Company** WO 84/01522 Baxter Travenol 04-26-1984 **B5** Laboratories, Inc. Examiner Date Considered

Signature | *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

^{*}EXAMINER: Initial in reference considered, whether of not clearly is in combinated with next communication to applicant.

*Unique citation designation number: See attached Kinds of U.S. Patent Documents. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation or abstract is attached.

PATENT APPLICATION



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Inventors: Ulrich Behrendt, et al.

Group: 1723

Serial No. 10/075,733, filed February 14, 2002 Examiner: Krishnan S. Menon

For: **HOLLOW FIBER MEMBRANE MODULE**

STATEMENT UNDER 37 CFR §1.97(e)

Nutley, New Jersey 07110 Date: February 19, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify:

That each item of information contained in the enclosed supplemental information _X_ disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this supplemental information disclosure statement.

That no item of information contained in the supplemental information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

Respectfully submitted,

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